

Docket No. 240579US6

DEC 31 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Anthony DIP et al.

SERIAL NO: 10/647,534

GAU: 2829

FILED: August 26, 2003

EXAMINER: UNKNOWN

FOR: MULTIPLE GROW-ETCH CYCLIC SURFACE TREATMENT FOR SUBSTRATE PREPARATION

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

240579US6YA

SERIAL NO.

10/647,534

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Anthony DIP et al.

FILING DATE

August 26, 2003

GROUP

2829

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
|---------------------|----|--------------------|------------|--------------------|-------|--------------|-------------------------------|
| | AA | 6,235,145 | 5/22/2001 | Li et al. | | | |
| | AB | 4,981,811 | 1/1/1991 | Feygenson et al. | | | |
| | AC | 5,294,568 | 3/15/1994 | McNeilly et al. | | | |
| | AD | 5,635,102 | 6/3/1997 | Mehta | | | |
| | AE | 5,658,417 | 8/19/1997 | Watanabe et al. | | | |
| | AF | 5,762,755 | 6/9/1998 | McNeilly et al. | | | |
| | AG | 5,783,495 | 7/21/1998 | Li et al. | | | |
| | AH | 6,024,888 | 2/15/2000 | Watanabe et al. | | | |
| | AI | 6,124,211 | 9/26/2000 | Butterbaugh et al. | | | |
| | AJ | 6,147,006 | 11/14/2000 | Mouri et al. | | | |
| | AK | 6,221,168 | 4/24/2001 | Carter et al. | | | |
| | AL | 4,749,440 | 6/7/1988 | Blackwood et al. | | | |
| | AM | | | | | | |
| | AN | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION | |
|--|----|--------------------|------|---------|-------------|----|
| | | | | | YES | NO |
| | AO | | | | | |
| | AP | | | | | |
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| | AS | | | | | |
| | AT | | | | | |
| | AU | | | | | |
| | AV | | | | | |

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

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| | AW | |
| | AX | |
| | AY | |
| | AZ | |

☐ Additional References sheet(s) attached

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.